



**Technology Assurance Sandbox v2.0**  
**Application Form**

Effective Date: 1st June 2022



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# 1 Application Form

## 1.1 General Information

The definitions found in the MDIA-TAS (MDIA Sandbox) Guidelines apply to this document.

Please complete the form hereunder and submit a digital copy to [applications@mdia.gov.mt](mailto:applications@mdia.gov.mt) to apply for the MDIA Sandbox. Please ensure that you have familiarised yourself and read through all the latest information relating to the MDIA Sandbox on the MDIA Website. All application forms received shall be assessed by the Authority in line with the process defined in the MDIA-TAS Guidelines. A member of the MDIA Sandbox team shall confirm receipt of submission and trigger off process.

By submitting this application, you acknowledge and agree that the relevant conditions in the MDIA Accreditation Sandbox Guidelines and other applicable Guidelines will regulate this application. Please be aware that, since the MDIA is a public authority, information submitted to the MDIA may be subject to disclosure, including under the Freedom of Information Act, Chapter 496 of the Laws of Malta. In order to help the MDIA deal with any such access requests, we ask that applicants clearly label any commercially sensitive aspects of their application. Please do this by highlighting commercially sensitive sections in yellow. This does not mean that information you deem to be confidential may not be disclosed by the MDIA if it is legally obliged or authorised to disclose such information.

The MDIA will use reasonable care in dealing with this application. However, the MDIA will not accept any liability or responsibility for anything done by it in relation to this application, amongst others (i) any opinions expressed by the MDIA, (ii) the time it may take the MDIA to process the application, or (iii) any refusal by the MDIA; or any other liability whether in contract, tort (including negligence) or otherwise, towards you or any third party. The provisions of the below Terms and Conditions relating to MDIA's exclusion of liability will extend to its dealing with this application. Any processing fees paid in conjunction with this Application Form will not be refundable.

## 1.2 Required Information

Full Name of Applicant:	
Residential Address:	
Contact Number:	
Email Address:	
Passport/ID Number:	

Please fill in the below section if you are applying on behalf of legal entity. If this application is being submitted for a limited liability company incorporated under law or any other form of legal entity set up under and in accordance with applicable law, you warrant that you have due representation of the company.

Name of Legal Entity:	
Registered address:	
Legal Entity Registration No.	
Website/s:	
Sector:	

Indicate if there are other regulatory bodies and authorities under which the IDPS falls to be regulated or governed whether in Malta or abroad, e.g. Malta Financial Services Authority, Malta Gaming Authority, UK Financial Conduct Authority

If different from the applicant details entered above, indicate the assigned single point of contact in your organisation for the Sandbox. Please note that all correspondence relating to this application form will be sent solely to this contact:

Name:	
Role & Title:	
Contact:	

### 1.3 Required Documentation

As part of the application process, the Applicant is to provide a digital copy of:

- Passport or ID Card of each director, shareholders and ultimate beneficial owners.
- Proof of Address (Utility bill or bank statement) [for each of the above individuals];
- Police Conduct Certificate which should not be older than six months, [for each of the above individuals];
- Curriculum Vitae (including academic and industrial qualifications and relevant work experience) of the above individuals or as directed by MDIA];
- Memorandum and Articles of the legal entity applying (or equivalent) if applicable.

### 1.4 Details of the Innovative Digital Product or Service (IDPS)

Compile the below documents to accompany this application. Applicants are to ensure that the documents include content as required in the MDIA-TAS Guidelines,

and that the information submitted addresses the Evaluation Criteria published by MDIA.

1. Short summary of your proposition and its stage of development
2. Sandbox Blueprint
3. Business Plan
4. Residency Plan

1.5 General Declaration - To be completed by the Applicant, and each of its directors, officers, shareholders, and ultimate beneficial owners or as directed by MDIA.

You are required to be honest, ethical, act with integrity and be financially sound. In this regard, have you any information to disclose regarding a material issue or do you have any concerns about your ability to perform the relevant function within the IDPS which has applied to be recognised?

Yes  No

Have you ever, in any jurisdiction, been dismissed or asked to resign and did resign from any profession, vocation, office or employment, or from any position of trust or fiduciary appointment, whether remunerated?

Yes  No

Have you or any entity which you are/were associated with ever been (in any jurisdiction), refused registration, authorisation, membership or your approval/ license been revoked, not on a voluntary basis?

Yes  No

In carrying out your duties, will you be acting on the directions or instructions of any other person?

Yes  No

(Applicable only to Directors and Officers): Are any shares in the IDPS, in connection with which the application is being made, registered or held in your name?

Yes  No

(Applicable only to Shareholders and Ultimate Beneficial Owners): Do you hold any shares in the IDPS in connection with which the application is being made, as trustee or nominee?

Yes  No

Do you currently hold shares in other entities in your name, as trustee or nominee?

Yes  No

Are you aware of any business interests, employment obligations or other situations which may give rise to conflicts of interests in the performance of your duties with respect to the IDPS in connection with which this questionnaire is being submitted?

Yes  No

Is there any further information of direct relevance for the MDIA to carry out its assessment of this application form effectively?

Yes  No

If you answered 'Yes' to any of the questions in this section, please provide details on a separate sheet.



1.6 Confirmations - To be completed by the Applicant, and each of its directors, officers, shareholders and ultimate beneficial owners or as directed by MDIA.

Are there any contractual impediments or restrictions through any previous occupation or employment, which preclude you from carrying out your duties and responsibilities with respect to the Applicant and/or IDPS for which this questionnaire is being completed?  Yes  No

Have you at any time been found in breach of regulations or convicted of any offence, criminal or otherwise, by any Tribunal or court? If so, give full particulars of the forum which determined the breach, offence or conviction and/or full particulars of its decision, the offence and the penalty imposed and the date of conviction/decision. (Breaches of traffic regulations punishable by fines lower than €120 need not be reported).

Yes  No

If 'Yes', on a separate sheet please provide the following details for each conviction:

- Court, Description of offence
- Amount of penalty
- Date

Are you or any entity with which you are associated, the subject of any current criminal investigations and/or proceedings?

Yes  No

Have you or any entity with which you were associated, been the subject of any civil proceedings or litigation?

Yes  No

Have you or in your capacity as director, controller, manager or qualifying shareholder:

- Ever been censured, reprimanded, disciplined or publicly criticised by any Court of Law, regulatory authority, officially appointed enquiry, University or other educational institution or professional body or trade association?

Yes  No

- Ever been the subject of a regulatory disciplinary measure or been refused or had revoked or restricted or suspended a licence or authorisation to carry on a business activity for which a specific licence or authorisation or other permission is required?

Yes  No

- Ever been found guilty of conducting or been investigated for possible conduct of any licensable activities without the necessary licence, authorisation or permits?

Yes  No

- Ever been the subject of an investigation (whether current or previous) by a governmental, professional or other regulatory body or have you resigned whilst under investigation?

Yes  No

Have you or in your capacity as director, controller, manager or qualifying shareholder, withdrawn an application that had been submitted to a regulatory or licensing authority?

Yes  No

Have you been dismissed from any office or employment or barred from entry to any profession or occupation?

Yes  No

Have you been adjudicated bankrupt by a Court or Tribunal?

Yes  No

Have you failed to satisfy any debt adjudged due and payable by you as a judgement debtor under an order of a Court or Tribunal?

Yes  No

Have you or in your capacity as director, controller, manager or qualifying shareholder, been adjudged by a court liable for any fraud, forgery or other misconduct by you towards such a body or company or towards any members thereof?

Yes  No

Have you or in your capacity as director, controller, manager or qualifying shareholder, been compulsorily wound up; or had an administrator, receiver or liquidator appointed; or made a compromise or similar arrangement with its creditors; or ceased trading in circumstances where its creditors did not receive (or have not yet received) full settlement of their claims?

Yes  No

Have you or in your capacity as director, controller, manager or qualifying shareholder, with which you were associated ever been asked to close a bank account or had a bank account closed by the bank?

Yes  No

Do you confirm your awareness of your responsibilities arising from the legislation, regulations, codes of practice, guidance notes, guidelines and any other rules or directives, which are applicable to your position(s) and confirm your intention to ensure that the Applicant will be operated in compliance with them?

Yes  No

## 1.7 Declaration and Signature

I, the undersigned, confirm that:

- I have read and understood and am accepting the obligations pertaining to the role of Technical Officer in relation to the participation in the MDIA-TAS as defined in the Terms and Conditions and the MDIA Sandbox Guidelines.
- I certify that I have provided the MDIA with all the information as requested and that the information provided is complete, truthful and correct to the best of my knowledge and belief, and that I have personally re-checked this information. I undertake to promptly advise the Malta Digital Innovation Authority of any material change to the answers of this Application.
- Knowingly or recklessly giving the MDIA information, which is false or misleading, may be actionable through a Court of Law.

**Signature of applicant and date**

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## 2 Technical Officer Application Form

### 2.1 Introduction

By submitting this Application to act as a Technical Officer for the IDPS listed in your application, for the purposes of the MDIA-TAS, You acknowledge that you are aware of the obligations imposed on the Technical Officer, as detailed in the MDIA-TAS Guidelines and the application for Sandbox Residency.

### 2.2 Required Information

Full Name of Applicant:	
Residential Address:	
Contact Number:	
Email Address:	
Passport/ID Number:	

## 2.3 Required Documentation

As part of the application process, the Applicant is to provide a digital copy of:

- Passport or ID Card;
- Proof of Address (Utility bill or bank statement);
- Police Conduct Certificate which should not be older than six months;
- Curriculum Vitae (including academic and industrial qualifications and relevant work experience) of the above individuals or as directed by MDIA].

## 2.4 General Declaration

You are required to be honest, ethical, act with integrity and be financially sound. In this regard, have you any information to disclose regarding a material issue or do you have any concerns about your ability to perform the relevant function within the IDPS which has applied to be recognised?

Yes  No

Have you ever, in any jurisdiction, been dismissed or asked to resign and did resign from any profession, vocation, office or employment, or from any position of trust or fiduciary appointment, whether remunerated?

Yes  No

Have you or any entity which you are/were associated with ever been (in any jurisdiction), refused registration, authorisation, membership or your approval/ license been revoked, not on a voluntary basis?

Yes  No

In carrying out your duties, will you be acting on the directions or instructions of any other person?

Yes  No

Are any shares in the IDPS, in connection with which the application is being made, registered or held in your name?

Yes  No

Do you hold any shares in the IDPS in connection with which the application is being made, as trustee or nominee?

Yes  No

Do you currently hold shares in other entities in your name, as trustee or nominee?

Yes  No

Are you aware of any business interests, employment obligations or other situations which may give rise to conflicts of interests in the performance of your duties with respect to the IDPS in connection with which this questionnaire is being submitted?

Yes  No

Is there any further information of direct relevance for the MDIA to carry out its assessment of this application form effectively?

Yes  No

If you answered 'Yes' to any of the questions in this section, please provide details on a separate sheet.



## 2.5 Technical Officer Confirmations

Are there any contractual impediments or restrictions through any previous occupation or employment, which preclude you from carrying out your duties and responsibilities with respect to the Applicant and/or IDPS for which this questionnaire is being completed?

Yes  No

Have you at any time been found in breach of regulations or convicted of any offence, criminal or otherwise, by any Tribunal or court? If so, give full particulars of the forum which determined the breach, offence or conviction and/or full particulars of its decision, the offence and the penalty imposed and the date of conviction/decision. (Breaches of traffic regulations punishable by fines lower than €120 need not be reported).

Yes  No

If 'Yes', on a separate sheet please provide the following details for each conviction:

- Court, Description of offence
- Amount of penalty
- Date

Are you or any entity with which you are associated, the subject of any current criminal investigations and/or proceedings?

Yes  No

Have you or any entity with which you were associated, been the subject of any civil proceedings or litigation?

Yes  No

Have you:

- Ever been censured, reprimanded, disciplined or publicly criticised by any Court of Law, regulatory authority, officially appointed enquiry, University or other educational institution or professional body or trade association?

Yes  No

- Ever been the subject of a regulatory disciplinary measure or been refused or had revoked or restricted or suspended a licence or authorisation to carry on a business activity for which a specific licence or authorisation or other permission is required?

Yes  No

- Ever been found guilty of conducting or been investigated for possible conduct of any licensable activities without the necessary licence, authorisation or permits?

Yes  No

- Ever been the subject of an investigation (whether current or previous) by a governmental, professional or other regulatory body or have you resigned whilst under investigation?

Yes  No

Have you withdrawn an application that had been submitted to a regulatory or licensing authority?

Yes  No

Have you been dismissed from any office or employment or barred from entry to any profession or occupation?

Yes  No

Have you been adjudicated bankrupt by a Court or Tribunal?

Yes  No

Have you failed to satisfy any debt adjudged due and payable by you as a judgement debtor under an order of a Court or Tribunal?

Yes  No

Have you been adjudged by a court liable for any fraud, forgery or other misconduct by you?

Yes  No

Have you ever been asked to close a bank account or had a bank account closed by the bank?

Yes  No

Do you confirm your awareness of your responsibilities arising from the legislation, regulations, codes of practice, guidance notes, guidelines and any other rules or directives, which are applicable to your position(s) and confirm your intention to ensure that the Applicant will be operated in compliance with them?

Yes  No

## 2.6 Technical Officer Declaration

I, the undersigned, confirm that:

- I have read and understood and am accepting the obligations pertaining to the role of Technical Officer in relation to the participation in the MDIA-TAS as defined in the Terms and Conditions and the MDIA Sandbox Guidelines.
- I certify that I have provided the MDIA with all the information as requested and that the information provided is complete, truthful and correct to the best of my knowledge and belief, and that I have personally re-checked this information. I undertake to promptly advise the Malta Digital Innovation Authority of any material change to the answers of this Application.
- Knowingly or recklessly giving the MDIA information, which is false or misleading, may be actionable through a Court of Law.

**Signature of Technical Officer and date**

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